

**B. COM. DEGREE END SEMESTER EXAMINATION - MARCH 2025****SEMESTER 4 : COMMERCE****COURSE : 19U4RCOM13 : CAPITAL MARKET***(For Regular 2023 Admission and Improvement/Supplementary 2022/2021/2020/2019 Admissions)*

Time : Three Hours

Max. Marks: 75

**PART A****Answer any 10 (2 marks each)**

1. Expand WDM.
2. What is a debenture?
3. What do you mean by market capitalization?
4. What are interest rate swaps?
5. Who is a speculator?
6. List any two significance of index numbers.
7. List any two advantages of rights issue.
8. What is NBFC?
9. Expand SEBI and State its 4 features.
10. What do you mean by preference shares.
11. Who is an underwriter?
12. What is ESOP?

**(2 x 10 = 20)****PART B****Answer any 5 (5 marks each)**

13. Explain briefly the different types of offer documents.
14. Explain the features of forward contracts.
15. Enumerate the significance/functions of capital market.
16. Explain the various types of speculators in a stock exchange.
17. Enumerate the functions of NIM.
18. List the rationale for setting up of SEBI.
19. Define depository receipts? Explain the various types of depository receipts.
20. Discuss briefly the functions of a depository.

**(5 x 5 = 25)****PART C****Answer any 3 (10 marks each)**

21. Define Listing. Explain the advantages and disadvantages of listing?
22. Explain the major financial instruments in the industrial securities market.
23. Who are the players in the New Issue Market? Explain.
24. Describe the various departments of SEBI.
25. Write a note on futures? Explain the features and types of futures.

**(10 x 3 = 30)**