

Reg. No

Name

23U414

B. COM DEGREE END SEMESTER EXAMINATION : MARCH 2023

SEMESTER 4 : COMMERCE

COURSE : 19U4RCOM13: CAPITAL MARKET

(For Regular - 2021 Admission and Improvement / Supplementary - 2020 / 2019 Admissions)

Time : Three Hours

Max. Marks: 75

PART A

Answer any 10 (2 marks each)

1. What is rights issue?
2. What is ASBA?
3. What is composite issue?
4. Define floating rate bonds.
5. Expand MSEI.
6. What do you mean by unorganized money market?
7. What is CBLO?
8. Who is a speculator?
9. Expand SEBI.
10. What do you mean by NASDAQ?
11. List any two significance of index numbers.
12. What is square-off?

(2 x 10 = 20)

PART B

Answer any 5 (5 marks each)

13. List the important provisions relating to rights issue.
14. Differentiate between money market and capital market.
15. What are the advantages of repos?
16. Briefly explain the notable advantages of a depository system.
17. What are the functions of a depository?
18. What are the different types of offer documents?
19. List the rationale for setting up of SEBI.
20. Briefly explain the features of options.

(5 x 5 = 25)

PART C

Answer any 3 (10 marks each)

21. Explain the SEBI regulations for public/rights issue.
22. Explain the major financial instruments in the industrial securities market.
23. " The role of SEBI is developmental and regulatory" - Discuss.
24. What are Stock Exchanges ? What is the role of Stock Exchange? Explain the different Stock Exchanges in India.
25. Explain the various types of speculative transactions.

(10 x 3 = 30)