Reg. NoName	19U411
B.COM. DEGREE END SEMESTER EXAMINATION - MARCH/APRIL 2019	
SEMESTER – 4: COMMERCE (CORE COURSE)	
COURSE: 15U4CRCOM09: CAPITAL MARKET	
(Common for Regular 2017 admission and improvement 2016/ supplementary 2016/2015 admission)	
Time: Three Hours	Max. Marks: 75
SECTION A	
Answer all questions. Each question carries 2 marks.	
1. What is arbitrage?	
2. What is meant by derivatives?	
3. Name the different methods of new issues	
4. What is ESOP?	
5. What is meant by blue chip securities?	
6. What is dematerialization?	
7. What is depository?	
8. In which year sensex was launched?	
9. What is insider trading?	
10. What is meant by swaps?	(2 x 10 = 20)
SECTION B	
Answer any five questions. Each question carries 5 marks.	
11. What is IPO?	
12. State the functions of new issue market.	
13. What is currency swaps?	
14. What is meant by rolling settlement?	
15. What is private placement?	

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- 14
- 16. What is screen based trading?
- 17. What do you meant by listing of securities? Also explain the advantages of listing.

 $(5 \times 5 = 25)$

SECTION C

Answer any three questions. Each question carries 10 marks.

- 18. What is money market? How does it differ from capital market? Briefly explain different money market instruments.
- 19. What is primary market? Describe the role of various intermediaries in the primary market operations.
- 20. What is meant by stock exchanges? Explain the various steps involved in the trading process in a stock exchange.
- 21. What are stock market indices? Explain any four indices of NSE.
- 22. Explain the powers and functions of SEBI.

 $(10 \times 3 = 30)$