Dog No.	Name	19U236
Reg. No	Name	130230
B.COM. DEGREE END	SEMESTER EXAMINATION - MAI	RCH/APRIL 2019
SEMES	TER - 2: COMMERCE (CORE COURSE	≣)
COURSE: 15	5U2CRCOM5: CORPORATE REGULAT	TIONS
(Common for Reaular 2018	3 / Supplementary/Improvement 2017/ 20	016/2015 Admission)
Time: Three Hours	,, сарристения,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Maximum Marks: 75
Time. Timee riodis	SECTION – A	Waxiiiaiii Warks. 75
Answer	all questions. Each question carries 2 m	narks.
 Define one person company. 	an questions. Each question carries 2 ;;	Tarkor
2. What is buy-back of shares?		
3. What is shelf prospectus?		
4. Who is an independent director	or?	
5. What is illegal association?		
6. What is red-herring prospectus	s?	
7. Define proxy.		
8. What do you mean by deposite	ory participants?	
9. What is GDR?		
10. Define winding up.		$(2 \times 10 = 20)$
	SECTION – B	
Answer any	five questions. Each question carries 5 i	marks.
11. Explain the procedures to be for	ollowed for incorporation of companies	5.
12. State the provisions for transm	nission of shares.	
13. State the procedure for the ap	pointment of a company auditor.	
14. What is book building? Explain	the procedure of book building proces	S.
15. Explain the legal aspects of alte	eration of Articles of Association of a co	ompany.
16. Write note on National Compa	ny Law Tribunal.	
17. What is lifting of corporate vei	l?	(5 x 5 = 25)
	SECTION – C	
Answer any ti	hree questions. Each question carries 10	0 marks.
18. What is audit report? List out report.	the contents of audit report. Also exp	plain different types of audit
19. What is Memorandum of Asso	ciation? Explain the contents of Memor	randum of Association.
20 List out and avalain the doc	www.nts.to.bo.filad.with.tho.Dogistrs	or for gotting cortificate of

- 20. List out and explain the documents to be filed with the Registrar for getting certificate of incorporation?
- 21. Write note on:
 - (a) Doctrine of *Ultra Vires*
 - (b) Doctrine of Constructive Notice
 - (c) Doctrine of *Indoor Management*.
- 22. Explain the rights and obligation of NSDL and CDSL.

 $(10 \times 3 = 30)$